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PTO/SB/21 (02-04)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/798,547
	Filing Date	March 10, 2004
	First Named Inventor	Richard T. Simko
	Art Unit	2818
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	021343-000700US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): USPTO forms SB08A and SB08B (2 pages), copies of 11 non-patent references, Return Postcard
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm or Individual name	Townsend and Townsend and Crew LLP Steven Cahill Reg. No. 44,578	
Signature		
Date		

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Roger Hylton	
Signature		Date 6/24/04



PATENT  
Attorney Docket No.: 021343-000700US  
Client Reference No.: T-006-03.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Richard T. Simko

Application No.: 10/798,547

Filed: March 10, 2004

For: FLOATING GATE  
NONVOLATILE MEMORY CIRCUITS  
AND METHODS

Examiner: Unassigned

Art Unit: 2818

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

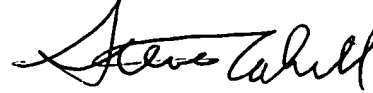
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the cited non-patent references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the

undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Steven Cahill", written over a horizontal line.

Steven Cahill  
Reg. No. 44,578

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60237873 v1



<b>Substitute for form 1449A/PT</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/798,547
		Filing Date	March 10, 2004
		First Named Inventor	Richard T. Simko
		Art Unit	2818
		Examiner Name	Unassigned
Sheet 1	of 2	Attorney Docket Number	021343-000700US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	1	US-2003/0112661	06-19-2003	Khalid et al.	
	2	US-6,538,922	03-25-2003	Khalid et al.	
	3	US-5,973,956	10-26-1999	Blyth et al.	
	4	US-5,969,987	10-19-1999	Blyth et al.	
	5	US-5,723,985	03-03-1998	Van Tran et al.	
	6	US-5,294,819	03-15-1994	Simko	
	7	US-5,241,494	08-31-1993	Blyth et al.	
	8	US-5,220,531	06-15-1993	Blyth et al.	
	9	US-5,126,967	06-30-1992	Simko	
	10	US-4,989,179	01-29-1991	Simko	
	11	US-4,890,259	12-26-1989	Simko	
	12	US-4,617,652	10-14-1986	Simko	
	13	US-4,533,846	08-06-1985	Simko	
	14	US-4,520,461	05-28-1985	Simko	
	15	US-4,511,811	04-16-1985	Gupta	
	16	US-4,488,060	12-11-1984	Simko	
	17	US-4,486,769	12-04-1984	Simko	
	18	US-4,404,475	09-13-1983	Drori et al.	
	19	US-4,393,481	07-12-1983	Owen et al.	
	20	US-4,314,265	02-02-1982	Simko	
	21	US-4,300,212	11-10-1981	Simko	
	22	US-4,274,012	06-16-1981	Simko	
	23	US-4,263,664	04-21-1981	Owen et al.	
	24	US-4,203,158	05-13-1980	Frohman-Bentchkowsky, et al.	
	25	US-4,119,995	10-10-1978	Simko	
	26	US-4,099,196	07-04-1978	Simko	
	27	US-4,053,349	10-11-1977	Simko	
	28	US-3,996,657	12-14-1976	Simko et al.	
	29	US-3,984,822	10-05-1976	Simko et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			
						T <sup>6</sup>

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
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			First Named Inventor	Richard T. Simko	
			Art Unit	2818	
			Examiner Name	Unassigned	
Sheet	2	of	2	Attorney Docket Number	021343-000700US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	30	"Fowler-Nordheim Emmission from Texture Surface Features," in <u>Nonvolatile Semiconductor Memory Technology</u> , William D. Brown & Joe E. Brewer eds., IEEE press, p. 163 (1998).	
	31	"MOS Processing," in <u>MOS Integrated Circuits: Theory, Fabrication, Design and Systems Applications of MOS LSI</u> by staff of American Micro Systems Inc., pp. 174-183, (1972).	
	32	BLYTH et al. "Non-Volatile Analog Storage Device Using EEPROM Technology," Digest of Technical Papers 38th IEEE International Solid-State Circuits Conference, pp. 192-315 (1991).	
	33	CHANG et al. "A Low Voltage, Low Power P-Channel EEPROM Cell for Embedded and System-On-A-Chip Applications," Programmable Microelectronics Corp., San Jose, CA (1998).	
	34	DRORI et al. "A Single 5V Supply Nonvolatile Static RAM," Digest of Technical Papers the 1981 IEEE International Solid-State Circuits Conference, pp. 148-149 (1981).	
	35	DRORI et al. "Computer systems acquire both RAM and E <sup>2</sup> Prom from one chip with two memories," Electronic Design 28:91-95 (1980).	
	36	GERBER et al. "Low Voltage Single Supply CMOS Electrically Erasable Read-Only Memory," IEEE Transactions on Electron Devices 27:1211-1216 (1980).	
	37	HARARI et al. "A 256-bit Nonvolatile Static RAM," IEEE International Solid-State Circuits Conference Digest of Technical Papers pp. 108-109 (1978).	
	38	JEWELL-LARSEN et al. "5-VOLT RAM-LIKE TRIPLE POLYSILICON E <sup>2</sup> PROM," IEEE Conference proceedings Second Annual Phoenix Conference on Computers and Communication, pp. 508-511 (1983).	
	39	KLEIN et al. "5-volt-only, nonvolatile RAM owes it all to polysilican," Electronics 52:111-116 (1979).	
	40	SALSBURY et al. "High-Performance MOS EPROM's Using a Stacked Gate Cell," Digest of Technical Papers the 1977 IEEE International Solid-State Circuits Conference, pp. 186-187 (1977).	

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.